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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/758,857	01/16/2004	Karsten Meyer-Grafe	2133.015USU	6567

7590 07/03/2007  
Charles N.J. Ruggiero, Esq.  
Ohlandt, Greeley, Ruggiero & Perle, L.L.P.  
One Landmark Square, 10th Floor  
Stamford, CT 06901-2682

EXAMINER
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CONTINO, PAUL F

ART UNIT	PAPER NUMBER
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2114

MAIL DATE	DELIVERY MODE
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07/03/2007

PAPER

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

<b>Office Action Summary</b>	<b>Application No.</b> 10/758,857	<b>Applicant(s)</b> MEYER-GRAFE ET AL.	
	<b>Examiner</b> Paul Contino	<b>Art Unit</b> 2114	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

#### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

#### Status

- 1) ☒ Responsive to communication(s) filed on 10 May 2007.
- 2a) ☐ This action is **FINAL**.                      2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

#### Disposition of Claims

- 4) ☒ Claim(s) 1-20 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-20 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

#### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 16 January 2006 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

#### Priority under 35 U.S.C. § 119

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All    b) ☐ Some \* c) ☐ None of:
1. ☒ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

#### Attachment(s)

- |   |   |
|---|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)   | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)                                  | 5) <input type="checkbox"/> Notice of Informal Patent Application                       |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)<br>Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____  |

**DETAILED ACTION: Non-Final Rejection**

***Response to Arguments***

1. Applicant's arguments with respect to claims 1-20 have been considered but are moot in view of the new grounds of rejection.

***Information Disclosure Statement***

2. The information disclosure statement filed May 10, 2007 fails to comply with the provisions of 37 CFR 1.97, 1.98 and MPEP § 609 because the Document Number JP 58-124801 was not included along with the IDS. It has been placed in the application file, but the information pertaining to this document referred to therein has not been considered as to the merits. Applicant is advised that the date of any re-submission of any item of information contained in this information disclosure statement or the submission of any missing element(s) will be the date of submission for purposes of determining compliance with the requirements based on the time of filing the statement, including all certification requirements for statements under 37 CFR 1.97(e). See MPEP § 609.05(a).

The Examiner has found duplicate copies of JP 9-149007. Further, it appears that on the IDS, the Document Number GB1220280 should in fact be GB2220280.

***Claim Rejections - 35 USC § 112***

The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

3. Claims 1 and 11 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

Claims 1 and 11 recite the limitation "the logic operations" in lines 6 and 7, respectively.

There is insufficient antecedent basis for this limitation in the claim.

***Claim Rejections - 35 USC § 102***

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

4. Claims 1-3, 9, 11-13, and 19-20 are rejected under 35 U.S.C. 102(b) as being anticipated by Keefe (U.S. Patent No. 3,979,256).

As in claim 1, Keefe discloses a method for transmission of safe process information, comprising:

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detecting two or more process signals redundantly (*Fig. 1 # 14,16,17; column 2 lines 49-53, where signals from 14,16 are detected redundantly*);

identifying an event that is relevant to system safety (*Fig. 1 #20; column 2 lines 54-61, where the alarm is a result of an identified safety event*); and

converting said process signals to a single process signal for further system-based processing to carry out *[[the]]* logic operations on a single-signal basis for identifying said event (*Fig. 1 #17,19,20; column 2 lines 49-62, where the result of division circuit 17 is a single signal for further processing, and the alarm signal is a single signal used by logic circuit 20 in order to identify the safety-related event*).

As in claim 2, Keefe discloses said redundantly detected process signals are detected in said conversion process via two or more channels, and wherein said single process signal is transmitted via one channel (*Fig. 1 #14,16,17,19; column 2 lines 49-56*).

As in claim 3, Keefe discloses said detection process is in digital or analog form (*column 2 lines 49-62, where the signal is inherently analog or digital*).

As in claim 9, Keefe discloses said conversion process is carried out at a point in a process signal transmission path capable of being predetermined (*Fig. 1 #19; column 2 lines 49-56, where the conversion point is known and predetermined*).

As in claim 11, Keefe discloses an apparatus for safe transmission of process signals, comprising:

a plurality of process signals being supplied on two or more channels and detected redundantly to identify an event relevant to system safety (*Fig. 1 # 14,16,17; column 2 lines 49-53, where signals from 14,16 are detected redundantly*); and

a converter for conversion of process signals to a single process signal, said single process signal being capable of being transmitted via one channel to carry out *[[the]]* logic representations on a single-signal basis to identify said event (*Fig. 1 #17,19,20; column 2 lines 49-62, where the result of division circuit 17 is a single signal for further processing, and the alarm signal is a single signal used by logic circuit 20 in order to identify the safety-related event*).

As in claim 12, Keefe discloses means for system-based further processing of said single process signal (*Fig. 1 #19; column 2 lines 49-56, where the signal from division circuit converter 17 is further processed by comparator 19*).

As in claim 13, Keefe discloses said converter has associated with it an input component, an output component, an intelligent unit, and a mechatronic unit (*Fig. 1; columns 1 and 2, where there are input and output components throughout the invention, intelligent units that carry out conversion and logic operations, and mechatronic units inherently present in a nuclear reactor component*).

As in claim 19, Keefe discloses said converter comprises hardware and/or software elements (*Fig. 1 #17; column 2 lines 49-56, where it is inherent that the converter 17 comprises at least hardware*).

As in claim 20, Keefe discloses at least one network for an automation system (*Fig. 1; column 1, where Figure 1 illustrates a network for a safety automation system*).

\* \* \*

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

5. Claims 1, 4, 5, 11, 14, and 15 are rejected under 35 U.S.C. 102(e) as being anticipated by Yoshida (U.S. Patent No. 6,356,821).

As in claim 1, Yoshida discloses a method for transmission of safe process information, comprising:

detecting two or more process signals redundantly (*Fig. 5 #51,52,57; column 1 lines 53-55, where the result signals from 51 and 52 are redundantly detected by comparing circuit 57*);

identifying an event that is relevant to system safety (*column 1 lines 55-62*); and

converting said process signals to a single process signal for further system-based processing to carry out [[the]] logic operations on a single-signal basis for identifying said event

*(Fig. 5 #57,OUT; column 1 lines 53-62, where the output of comparing circuit 57 is a single fault signal which is inherently processed logically in order to determine if it is necessary to halt the processing system).*

As in claim 4, Yoshida discloses said conversion process is carried out to form a digital process signal *(Fig. 5 #57; where logic AND gate 57 inherently forms a digital signal output).*

As in claim 5, Yoshida discloses transmitting a 1-bit data item as the useful content of said single process signal *(Fig. 5 #57; column 1 lines 53-62, where the output of logic AND gate converter 57 is inherently a 1-bit item, which is used for determining a fault).*

As in claim 11, Yoshida discloses an apparatus for safe transmission of process signals, comprising:

a plurality of process signals being supplied on two or more channels and detected redundantly to identify an event relevant to system safety *(Fig. 5 #51,52,57; column 1 lines 53-55, where the result signals from 51 and 52 are redundantly detected by comparing circuit 57);*  
and

a converter for conversion of process signals to a single process signal, said single process signal being capable of being transmitted via one channel to carry out *[[the]]* logic representations on a single-signal basis to identify said event *(Fig. 5 #57,OUT; column 1 lines 53-62, where the output of comparing circuit 57 is a single fault signal which is inherently processed logically in order to determine if it is necessary to halt the processing system).*



As in claim 14, Yoshida discloses said converter is capable of producing a 1-bit data item (*Fig. 5 #57; a logic AND gate inherently produces a 1-bit data output*).

As in claim 15, Yoshida discloses said converter comprises a logic AND gate (*Fig. 5 #57; element 57 is illustrated as a logic AND gate*).

### ***Claim Rejections - 35 USC § 103***

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

6. Claims 6-8 and 16-18 are rejected under 35 U.S.C. 103(a) as being unpatentable over Yoshida in view of Emde et al. (U.S. PGPub 2003/0115543).

As in claim 6, Yoshida teaches of a single process signal. However, Yoshida fails to teach that transmission of said single process signal is protected. Emde et al. teaches transmission of a protected process signal (*paragraphs [0007]-[0008], where the CRC check bits are a form of signal protection*).

It would have been obvious for a person skilled in the art at the time the invention was made to have included the signal protection as taught by Emde et al. in the invention of Yoshida.

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This would have been obvious because protection of signals upon transmission ensures corrupt data is detected for safety-assurance (paragraph [0004]). Further, both the inventions of Yoshida and Emde et al. relate to safety-related processes occurring in a vehicle (*Yoshida: column 1 lines 14-15; Emde et al.: paragraph [0004]*).

As in claim 7, Yoshida teaches of a single process signal with useful content. However, Yoshida fails to teach of check bits. Emde et al. teaches of attaching check bits to useful content (*paragraphs [0007]-[0008], where the CRC check bits are attached to the useful content message*).

It would have been obvious for a person skilled in the art at the time the invention was made to have included the check bits as taught by Emde et al. in the invention of Yoshida. This would have been obvious because use of check bits with signals upon transmission ensures corrupt data is detected for safety-assurance (paragraph [0004]). Further, both the inventions of Yoshida and Emde et al. relate to safety-related processes occurring in a vehicle (*Yoshida: column 1 lines 14-15; Emde et al.: paragraph [0004]*).

As in claim 8, Emde et al. teaches of using a CRC method to produce said at least one check bit (*paragraph [0008]*).

As in claim 16, Yoshida teaches of a converter and a single process signal. However, Yoshida fails to teach of signal protection. Emde et al. teaches of a protected process signal (*paragraphs [0007]-[0008], where the CRC check bits are a form of signal protection; such*

*protection may be included as part of a unit which includes a converter, such as the one taught by Yoshida).*

It would have been obvious for a person skilled in the art at the time the invention was made to have included the signal protection as taught by Emde et al. in the invention of Yoshida. This would have been obvious because protection of signals upon transmission ensures corrupt data is detected for safety-assurance (paragraph [0004]). Further, both the inventions of Yoshida and Emde et al. relate to safety-related processes occurring in a vehicle (*Yoshida: column 1 lines 14-15; Emde et al.: paragraph [0004]*).

As in claim 17, Emde et al. teaches means for generation of at least one check bit and for attachment of said at least one check bit to a signal content of said single process signal (*paragraphs [0007]-[0008], where the CRC check bits are generated and attached to the useful content message*).

As in claim 18, Yoshida teaches of a converter. However, Yoshida fails to teach of a CRC method. Emde et al. teaches of application of a CRC method (*paragraph [0008]*).

It would have been obvious for a person skilled in the art at the time the invention was made to have included the CRC method as taught by Emde et al. in the invention of Yoshida. This would have been obvious because use of CRC with signals upon transmission ensures corrupt data is detected for safety-assurance (paragraph [0004]). Further, both the inventions of Yoshida and Emde et al. relate to safety-related processes occurring in a vehicle (*Yoshida: column 1 lines 14-15; Emde et al.: paragraph [0004]*).

\* \* \*

7. Claim 10 is rejected under 35 U.S.C. 103(a) as being unpatentable over Yoshida in view of Kikuchi (U.S. Patent No. 4,794,601).

As in claim 10, Yoshida teaches of a signal process signal. However, Yoshida fails to teach of a single signal being converted to two or more additional process signals. Kikuchi teaches of a single signal being converted to two or more additional process signals that are carried via separate channels in a system output component that is capable of being predetermined (*Figs. 2 and 5; column 4 lines 6-42, specifically lines 32-34*).

It would have been obvious to a person skilled in the art at the time the invention was made to have included the signal conversion as taught by Kikuchi in the invention of Yoshida. This would have been obvious because the inclusion of multiple outputs as taught by Kikuchi allows for a fault tolerant operating environment (*column 4 lines 43-49*).

### ***Conclusion***

8. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure:

U.S. Patent No. 6,778,867 discloses redundant safety-related signals.

U.S. Patent No. 5,594,414 discloses redundant signals and a single output.

U.S. Patent No. 6,891,383 discloses redundant signals and a single output.

9. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Paul Contino whose telephone number is (571) 272-3657. The examiner can normally be reached on Monday-Friday 9:00 am - 6:00 pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Scott Baderman can be reached on (571) 272-3644. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

PFC  
6/28/2007

  
**SCOTT BADERMAN**  
SUPERVISORY PATENT EXAMINER